



# Unplanned Extubations Prevention Bundle 1.0

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## I. Background & Team

A pediatric unplanned extubation (UE) is the inadvertent dislodgement of an endotracheal tube in a pediatric patient setting. Historically, many providers have considered unplanned extubations an expected consequence of care delivery in children's hospitals because of various difficult challenges, including sedation of a child, length of the neonatal/pediatric airway and inability to predict extubation readiness.

Multiple single-center studies have shown that quality improvement initiatives can reduce unplanned extubations. Summarized below are three studies that highlight quality improvement efforts that reduced unplanned extubation rates and showed that certain aspects of care, such as sedation practices, may impact unplanned extubation rates:

- "Unplanned extubation in a paediatric intensive care unit: impact of a quality improvement programme" (2008) – A five year quality improvement effort reduced unplanned extubations from 2.9/100 ventilator days to 0.6/100 ventilator days in the last year of the study[4].
- "An interdisciplinary initiative to reduce unplanned extubations in pediatric critical care units." (2012) – Coordinated inter-disciplinary efforts to standardize taping, sedation, hand-offs and review of unplanned extubations leads to reduction in events over time in pediatric and cardiac intensive care units [5].
- "Decreasing unplanned extubations: utilization of the Penn State Children's Hospital Sedation Algorithm." (2004) – Following the implementation of a standardized sedation algorithm and without altering any additional care delivery models or practice, unplanned extubations were reduced without increasing length of stay [6].

To further quantify prevention methods, the SPS UE Pioneer Cohort was launched in January, 2016. They have since achieved significant improvement and identified prevention bundle elements using data and analysis obtained from the UE Pioneer Cohort. The UE Pioneer Cohort has identified the initial bundle elements that are highly likely to result in decreased harm to hospitalized children when reliably implemented. The mechanism to spread this new knowledge is through the use of this bundle at individual SPS hospitals.

### **Unplanned Extubations Co-Leaders and Subject Matter Experts**

Darren Klugman, Children's National Medical Center

Patrick O'Neal Maynard, Monroe Carell Jr. Children's Hospital at Vanderbilt

Kristin Melton, Cincinnati Children's

### **UE Subject Matter Experts**

Anthony Lee, Nationwide Children's Hospital

Vicki Montgomery, Norton Children's Hospital

Mary Nock, UH/Rainbow Babies & Children's Hospital

Christina Sperling, The Hospital for Sick Children

### **Participating Cohort Hospitals**

Advocate Children's Hospital

Akron Children's Hospital

Arkansas Children's Hospital

Baystate Children's Hospital

Boston Children's Hospital

Children's Health Children's Medical Center Dallas

Children's Healthcare of Atlanta

Hasbro Children's Hospital at Rhode Island

Hassenfeld Children's Hospital at NYU Langone

Helen DeVos Children's Hospital

Kravis Children's Hospital at Mount Sinai

Lehigh Valley Children's Hospital

Mary Bridge Children's Hospital and Health Network

Memorial Health, an affiliate of University of Colorado Health

Children's Hospital and Medical Center (Omaha)  
Children's Hospital at Dartmouth Hitchcock  
Children's Hospital New Orleans  
Children's Hospital of Michigan  
Children's Hospital of Pittsburgh of UPMC  
Children's Hospital of the King's Daughters  
Children's Hospital of Wisconsin  
Children's Hospital Orange County  
Children's Mercy Hospital and Clinics  
Children's National Medical Center  
Cincinnati Children's  
Cleveland Clinic Children's  
Cohen Children's Medical Center of New York  
Connecticut Children's Medical Center  
Cook Children's Medical Center  
Covenant Children's  
CS Mott Children's Hospital  
Dayton Children's  
East Tennessee Children's Hospital  
Florida Hospital for Children

Monroe Carell Jr. Children's Hospital at Vanderbilt  
MUSC Children's Hospital  
Nationwide Children's Hospital  
Norton Children's Hospital  
Palmetto Health Children's Hospital  
Penn State Hershey Children's Hospital  
Phoenix Children's Hospital  
Riley Hospital for Children at Indiana University Health  
SSM Health Cardinal Glennon Children's Hospital  
St. Jude Children's Research Hospital  
Texas Children's Hospital  
The Hospital for Sick Children  
UCSF Benioff Children's Hospital Oakland  
UF Health Shands Children's Hospital  
UH/Rainbow Babies & Children's Hospital  
UW Health American Family Children's Hospital  
Winthrop Children's Hospital  
Wolfson Children's Hospital  
Yale-New Haven Children's Hospital

**SPS Staff**

Aaron Dawson, Sr. Quality Improvement Consultant  
Gowri Madhavan, Sr. Data Analyst  
Laurie Stevens, Project Specialist  
Haley Richardson, Project Coordinator

## II. UE Pioneer Cohort Data Analysis 1.0 Summary

### UE Pioneer Cohort Results

The UE Pioneer Cohort consisted of 53 network hospitals that tested various factors in an attempt to identify those most closely related to an UE rate reduction. Since the cohort began, the UE Pioneer Cohort had a reduction of 17%.

### Data Collection and Preparation

The testing requires reliable house-wide implementation of factors and relating those factors to a change in outcomes. For our purposes, a 'factor' is a measured change to your hospital's system. The theory is that if the factor is effective, increasing the reliability of the factor will improve the outcomes measure.

- Each participating hospital has a start month in the cohort and time series for their baseline and post baseline. The total time for this period was 19 months.
- The baseline period was defined as March 2016 to October 2016
- The post baseline period was defined as November 2016 to September 2017
- Criteria to be included in the data required a hospital to have submitted outcomes data for at least 80% of the time in the baseline period (6 months or more). Once that was taken into account, there were 43 left from the cohort to be included in the data.

### Factor Analysis

Analysis was completed using the ANCOVA model to statistically control for the initial rate of each group, and a p-value was determined. ANCOVA combines the feature of both regression and analysis of variance. ANCOVA is a generalized linear model that evaluates if the means of a DV (post baseline) are equal across levels of a categorical variable IV (buckets), while statistically controlling for the effects of other continuous variables known as a co-variate (baseline). This analysis placed hospitals into four groups: Reliable at baseline, Implementing and Measuring, Implementing but Not Reliable, and Not Implementing.

### Level of SPS Evidence Scenario Key:

- **Scenario 1:** Hospitals that reliably implement an element show improvement
- **Scenario 2:** Hospitals that do not implement an element fail to improve when the system improves
- **Scenario 3:** When all hospitals implement an element, hospitals that implement an element without measuring reliability fail to improve when the system improves
- **Scenario 4:** Hospitals that reliably implement an element do not show improvement; however, relevant research literature supports adoption
- **Scenario 5:** Implementing an element is associated with improvement; however, the impact of reliability cannot be determined due to data or design factors

**\*\*CDC Modified Recommendation Category**

- **IA** - A strong recommendation supported by high to moderate quality evidence suggesting net clinical benefits or harms
- **IB** - A strong recommendation supported by low quality evidence suggesting net clinical benefits or harms or an accepted practice (e.g., aseptic technique) supported by low to very low quality evidence
- **IC** - A strong recommendation required by state or federal regulation
- **II** - A weak recommendation supported by any quality evidence suggesting a tradeoff between clinical benefits and harms

**III. Prevention Bundle Elements – Evidence Reviewed**

SPS stratified bundle elements based on their level of evidence to assist hospitals in prioritizing their efforts at designing and implementing evidence-based bundles for Unplanned Extubations and the other aviator HACs:

- *Standard Element:* Strong evidence suggests that implementation of this element is associated with significant decrease in patient harm; **all SPS hospitals should implement and measure reliability of this element.**
- *Recommended Element:* Preliminary data and clinical expert opinion support the implementation of this element; **SPS hospitals should strongly consider implementing this element.**

Prevention Bundle Element	Description	Level of Evidence SPS Pioneer Analysis	Level of Evidence: Medical Literature, CDC **	Evidence Cited
<b>Standard Elements</b>				
Standardized anatomic reference points and securement methods	<ul style="list-style-type: none"> <li>• Two licensed clinicians are present for securing, repositioning, and/or manipulating endotracheal tubes</li> <li>• Hospitals will select one of the following as an anatomic landmark: gums, teeth, or nare. When unable, use lips (reference NRP guidelines)</li> <li>• Each unit selects one standard securement method (or one house-wide standard securement method)</li> </ul>	Scenario 1		1, 5

Prevention Bundle Element	Description	Level of Evidence SPS Pioneer Analysis	Level of Evidence: Medical Literature, CDC **	Evidence Cited
Protocol for high risk situations	Repositioning <i>occurs</i> with 2 licensed clinicians (having 1 dedicated to hold the tube during movement and repositioning) during high risk situations <b><u>High Risk Situations include at least (use local ACA data to append list):</u></b> <ol style="list-style-type: none"> <li>a. Bedside imaging procedures</li> <li>b. Bedside invasive procedures</li> <li>c. Kangaroo care/parent holding</li> <li>d. Routine repositioning</li> <li>e. Switching beds</li> <li>f. Early mobility</li> </ol>	Scenario 1		4, 7
<b>Recommended Elements</b>				
Multidisciplinary Apparent Cause Analysis	<ul style="list-style-type: none"> <li>• A multidisciplinary ACA event form should be completed for each event on the current shift by all clinical witnesses</li> <li>• ACA should be used to pareto institutional-specific causes of UE to identify areas for improvement</li> </ul>	Scenario 5		
Active Discussion on Extubation Readiness	Conduct a multidisciplinary active discussion to evaluate for planned extubation <ul style="list-style-type: none"> <li>• Conduct on all individual patients weaning toward extubation</li> <li>• Discussion to include:               <ul style="list-style-type: none"> <li>○ Necessity for the ET tube</li> <li>○ Discuss target extubation time                   <ul style="list-style-type: none"> <li>▪ Post-extubation respiratory support plan</li> <li>▪ Pre-extubation sedation/analgesic/restraints plan</li> <li>▪ Post-extubation analgesic plan</li> </ul> </li> <li>○ Scheduled re-evaluation time</li> </ul> </li> <li>• Attended by at least: RN, RT, MD or NP, PA</li> <li>• Conduct discussion a minimum of once a day</li> </ul>	Scenario 5		

#### IV. Measurement- Prevention Bundle Reliability

Measurement	Formula	Number of observations	Reporting Period
UE Prevention Bundle Standard Bundle Elements: <ul style="list-style-type: none"> <li>Standardized anatomic reference points and securement methods</li> <li>Protocol for high risk situations</li> </ul>	Number of audits totally compliant with SPS Prevention Bundle Elements/Number of audits completed x 100	<ul style="list-style-type: none"> <li>Your bundle reliability data should include all the SPS Prevention Bundle Standard Bundle Elements</li> <li>Minimum of 20 house-wide audits per month</li> </ul>	Monthly

#### V. References

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4. da Silva PS, de Aguiar VE, Neto HM, de Carvalho WB (2008) Unplanned extubation in a paediatric intensive care unit: impact of a quality improvement programme. *Anaesthesia* 63:1209-1216
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6. Popernack ML, Thomas NJ, Lucking SE (2004) Decreasing unplanned extubations: utilization of the Penn State Children's Hospital Sedation Algorithm. *Pediatr Crit Care Med* 5:58-62
7. Hatch, L., Grubb, P. H., Markahm, M. H., Scott, T. A., Walsh, W. F., Slaughter, J. C., & Stark, A. R. (2017, October). Effect of Anatomical and Developmental Factors on the Risk of Unplanned Extubation in Critically Ill Newborns. *Am J Perinatal*, 34(12), 1234-1240.

## VI. Revision History

I. Version	Primary Author(s)	Description of Version	Date Completed
Version 1	Klugman D., Maynard P., Melton, K.	Bundle 1.0	03/07/18
Version 2	Klugman D., Maynard P., Melton, K., Mustin, L.	Updated section IV (Measurement – Prevention Bundle Reliability) to reflect measurement of the 2 standard aviator bundle elements “all or none” compliance	3/13/19
Version 3	Klugman D., Maynard P., Melton, K., Gupta, V.	Added recommended bundle element “Active discussion on Extubation Readiness” in section III	10/14/20

*Thank you to the following UE Co-Leaders and Subject Matter Experts who contributed to this document: Kathy Deakins, UH/Rainbow Babies & Children’s Hospital, Darren Klugman, Children’s National Medical Center, Anthony Lee, Nationwide Children’s Hospital, Patrick O’Neal Maynard, Monroe Carell Jr. Children’s Hospital at Vanderbilt, Kristin Melton, Cincinnati Children’s, Vicki Montgomery, Norton Children’s Hospital, Mary Nock, UH/Rainbow Babies & Children’s Hospital, and Christina Sperling, The Hospital for Sick Children*